

Trends in Evaporation of a Large Subtropical Lake

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31 Abstract

32 How rising temperature and changing solar radiation affect evaporation of natural water
33 bodies remains poor understood. In this study, evaporation from Lake Taihu, a large (area
34 2400 km²) freshwater lake in the Yangtze River Delta, China, was simulated by the
35 CLM4-LISSS offline lake model and estimated with pan evaporation data. Both methods
36 were calibrated against lake evaporation measured directly with eddy covariance in 2012.
37 Results show a significant increasing trend of annual lake evaporation from 1979 to 2013, at a
38 rate of 29.6 mm decade⁻¹ according to the lake model and 25.4 mm decade⁻¹ according to the
39 pan method. The mean annual evaporation during this period shows good agreement between
40 these two methods (977 mm according to the model and 1007 mm according to the pan
41 method). A stepwise linear regression reveals that downward shortwave radiation was the
42 most significant contributor to the modeled evaporation trend, while air temperature was the
43 most significant contributor to the pan evaporation trend. Wind speed had little impact on the
44 modeled lake evaporation but had a negative contribution to the pan evaporation trend
45 offsetting some of the temperature effect. Reference evaporation was not a good proxy for the
46 lake evaporation because it was on average 20.6% too high and its increasing trend was too
47 large (56.5 mm decade⁻¹).

48

49 Key words: global warming, lake evaporation, eddy covariance, lake model

50 **1 Introduction**

51 There are 304 billion lakes in the world, occupying more than 3% of the continental land
52 surface (Downing et al., 2006). Evaporation from these lakes plays a vital role in the global
53 energy distribution and the hydrological cycle (Torcellini et al., 2004; Fu et al., 2004; Subin
54 et al., 2012a; Rong et al., 2013). There are several methods for quantifying lake evaporation.
55 The water balance method determines the lake evaporation from precipitation and the
56 amounts of water that flow in and out of the lake. The energy balance method derives the
57 evaporation rate by distributing the available energy to sensible heat and latent heat fluxes
58 (Rosenberry et al., 1993; Winter et al., 1995; Rosenberry et al., 2007; Elsawwaf et al., 2010).
59 The pan coefficient method estimates lake evaporation from pan evaporation data collected in
60 the lake catchment using a pan coefficient which is the ratio of pan evaporation to actual lake
61 evaporation (Hoy and Stephens et al., 1977; Jensen et al., 1990; Abtew et al., 2001; McJannet
62 et al., 2013). Lake evaporation can also be calculated with sophisticated lake models based on
63 physical processes of energy transfer in the lake and between the lake and the atmosphere
64 (Dutra et al., 2010; Subin et al., 2012a). Finally, the eddy covariance (EC) technique is
65 increasingly used to measure temporal and spatial variations in evaporation and energy fluxes
66 of lake systems (Blanken et al., 2000; Liu et al., 2009; Nordbo et al., 2011; Lee et al., 2014).
67 Most of the EC studies are limited in duration, and long-term (> 10 years) trend analysis is
68 still not feasible with this method. Each of these methods has its strengths and weaknesses. A
69 combined use of multiple methods may lead to more robust assessment of lake evaporation
70 trends than using a single method alone.

71 IPCC (2013) reported that the global average air temperature has risen by 0.7 °C from

72 1951 to 2012. Questions remain as to how lake evaporation has changed in this period and
73 whether the evaporation trends are a good proxy indicator of the impact of rising temperature
74 on the global hydrological cycle (Xu et al., 2006; Williamson et al., 2009). This debate is
75 sometimes framed as the “evaporation paradox”, the phenomenon in which pan evaporation
76 has decreased globally in the past 50 years (Peterson et al., 1995; Chattopadhyay and Hulme
77 et al., 1997; Brutsaert et al., 1998; Roderick et al., 2002; Cong et al., 2009), contrary to the
78 belief that higher temperature should accelerate the hydrologic cycle. Most of previous
79 studies about the effect of global warming on evaporation and on the “evaporation paradox”
80 rely on data on land potential evapotranspiration, pan evaporation and reference
81 evapotranspiration. Three explanations are offered for the paradox: 1) the increasing
82 temperature leads to higher actual evaporation on land, which weakens ability for the
83 atmosphere to take up water vapor from standing water surfaces due to enhanced air humidity;
84 2) Decreasing wind speed causes the decrease in pan evaporation (Roderick et al., 2007); 3)
85 “Global dimming”, or the decrease in sunshine duration and incoming radiation, causes the
86 decrease in pan evaporation (Roderick et al., 2002).

87 The global dimming explanation emphasizes the important role of solar radiation energy
88 in controlling evaporation. For example, Rong et al. (2013) combined the Penman-Monteith
89 model with reference evaporation data to calculate the annual evaporation of Dongping Lake
90 in Northern China, and concluded that from 2003 to 2010, the annual evaporation increased
91 at the rate of $18.24 \text{ mm year}^{-1}$, and increasing solar radiation and temperature explained this
92 increasing evaporation trend. Using the energy budget method to calculate evaporation from
93 Sparking Lake in open-water seasons (May-November, from 1989 to 1998), Lenters et al.

94 (2005) reported that the lake evaporation decreased from 1989 to 1994 and then continued
95 rebounding to a higher value in 1998, following similar variations in net radiation.

96 Some studies have showed that the decreasing trend in the incoming solar radiation was
97 reversed to an increasing trend in the late 1980s, but the pan evaporation continues to
98 decrease (Pinker et al., 2005; Wild et al., 2005), suggesting that factors other than solar
99 radiation may also play large roles. Johnson and Sharma (2010) estimated that the
100 evaporation of open waters should increase by 7% from 1990 to 2070 under the SRES A2
101 climate scenario, concluding that rising temperature is one potential contributor to the rising
102 trend. Zhu et al. (2010) evaluated the evaporation trend of Nam Co Lake on the Tibetan
103 Plateau by using remotely sensed lake area and a reference evaporation model; they
104 concluded that this lake was increasing in size due to increasing glacier melt, but
105 paradoxically the rate of evaporation showed a decreasing trend despite a robust increasing
106 trend in air temperature. The lack of consistent trends under conditions of increasing
107 temperature may be an indication that these proxy evaporation data are not an accurate
108 representation of the actual lake evaporation or that air humidity and wind speed effects may
109 more than offset the temperature effect.

110 The objective of this study is to investigate the long-term evaporation trend and the
111 underlying mechanisms for Lake Taihu, a large (area 2400 km²) and shallow (depth 1.9 m)
112 lake in the Yangtze River Delta, China. Average air temperature in the Lake Taihu catchment
113 increased by 1.62°C from 1961 to 2009, at a rate more than twice as the global average
114 (IPCC 2013), and annual mean wind speed decreased significantly from 3.45 to 2.44 m s⁻¹ in
115 the same period. The surface solar radiation increased by 8.3 W m⁻², or roughly 6%, from

116 1979 to 2013. These unambiguous and yet opposing atmospheric changes provide a unique
117 opportunity for generating new insights into the evaporation paradox. We employed pan
118 evaporation data and a lake land-surface model coupled to atmospheric reanalysis to calculate
119 the annual lake evaporation. Both methods were calibrated against the direct measurement of
120 the lake evaporation via eddy covariance. The calibrated methods should provide a more
121 robust assessment of trends and interannual variabilities than proxy data (uncorrected pan
122 evaporation, reference evaporation). The specific goals of this study are: 1) to quantify the
123 annual Lake Taihu evaporation trend; 2) to determine if this trend can be explained by
124 temperature, wind and solar radiation variability; 3) to investigate whether reference
125 evaporation can be used as proxy for determining the lake evaporation trend.

126

127 **2 Materials and Methods**

128 **2.1 Study site**

129 Lake Taihu (30°5'40'' - 31°32'58''N and 119°52'32''-120°36'10''E; Figure 1) is located in
130 the Yangtze River Delta, China. The perennial surface area is 2400 km² and the average depth
131 is 1.9 m. The lake is in the Asian monsoon climate zone, with an annual average temperature
132 of 15.97°C and annual rainfall of 1182 mm (1961-2009). The elevation is about 3 m above
133 the sea level.

134

135 **2.2 Eddy covariance observation**

136 Eddy covariance measurement of the lake evaporation at two locations in the lake, one near
137 the west shore (Dapukou, or DPK) and the other in the eastern portion of the lake

138 (Bifenggang, or BFG), was used in this study (Figure 1). Both sites have excellent fetch.
139 These sites are part of the Taihu Eddy Flux Network (Lee et al. 2014). Details of the
140 instrumentation are described by Lee et al. (2014). Small data gaps were filled with the bulk
141 transfer relationships (Garratt et al., 1992; Laird and Kristovich et al., 2002; Wang et al.,
142 2014). The original half-hourly data were averaged to 5-day intervals and adjustment was
143 made to the sensible and latent heat flux by forcing energy balance closure (Twine et al.,
144 2000). The adjusted latent heat flux was then used to validate the lake model and to calibrate
145 the pan evaporation data, as described below.

146

147 **2.3 The lake land-surface model**

148 We used the CLM-LISSS (National Center for Atmospheric Research Community Land
149 Model version 4- Lake, Ice, Snow and Sediment Simulator) lake model to calculate lake
150 evaporation (Subin et al. 2012b). CLM-LISSS is an improved version of CLM4-Lake (Bonan
151 et al., 1995; Zeng et al., 2002). It parameterizes the heat diffusion in the water column with a
152 bulk eddy diffusivity formulation and solves the lake surface temperature from the surface
153 energy balance equation. The latent and sensible heat fluxes are calculated from the bulk
154 transfer relationships. The main forcing variables are net shortwave radiation flux, downward
155 longwave radiation flux, wind speed at the 10-m height, and specific humidity and air
156 temperature at the 2-m height. Recently, our group (Deng et al., 2013) evaluated the model
157 against the eddy covariance observations at Lake Taihu. We found that the model does a good
158 job simulating the eddy fluxes and the water temperature after an adjustment has been made
159 to the water thermal diffusivity parameterization. In this study, we used the version tuned by

160 Deng et al. (2013).

161 The CLM4-LISSS lake model was forced by MERRA (The Modern-Era Retrospective
162 Analysis for Research and Applications) data. MERRA is an atmospheric reanalysis system
163 developed by NASA using the Goddard Earth Observation Model (Rienecker et al., 2011).
164 The reanalysis data covers the period from 1979 until now. The model grid resolution is 1°
165 by 1.25° for the surface downward shortwave radiation (S_{\downarrow}), the surface upward shortwave
166 radiation (S_{\uparrow}), and the surface downward longwave radiation data (L_{\downarrow}), and $1/2^\circ$ by $2/3^\circ$ for
167 specific humidity, wind speed, air temperature and pressure. The radiation data used for this
168 study came from the grid centered at 31.5°N and 120.63°E and the standard meteorological
169 variables from the grid centered at 31.5°N and 120.0°E . The forcing variables from MERRA
170 are surface pressure, air temperature, specific humidity, wind speed, downward shortwave
171 radiation and downward longwave radiation at 3-hourly intervals. The upward shortwave
172 radiation was calculated from the downward shortwave radiation and the observed lake
173 albedo of 0.08.

174 —

175 Previous studies have shown that the MERRA S_{\downarrow} is biased high by around 20 Wm^{-2} when
176 compared with FLUXNET observations in North America and Atmospheric Radiation
177 Measurement Program in the Southern Great Plains (Zhao et al., 2013; Kennedy et al., 2011).
178 Its surface downward longwave radiation is biased low by 19 Wm^{-2} (Kennedy et al., 2011).
179 We found that MERRA overestimated the annual mean S_{\downarrow} by 38.4 Wm^{-2} , and underestimated
180 the annual mean L_{\downarrow} by 26.2 Wm^{-2} in comparison to the observations at Lake Taihu in 2012.

181 To eliminate these biases, we used a simple linear fitting method for S_{\downarrow} and L_{\downarrow} by establishing
182 a regression equation of the 3-hour means of the MERRA outputs against the observed values.
183 We established the correction coefficients using the data in 2012 and assessed the accuracy of
184 the regression fits for 2013. After the correction, the mean annual biases of S_{\downarrow} and L_{\downarrow} were
185 reduced to 4.8 W m^{-2} and 1.6 W m^{-2} , respectively. The corrected S_{\downarrow} shows very good
186 agreement, in terms of long-term trends and interannual variabilities, with observations made
187 in Shanghai (31.1°N , 121.3°E), about 90 km to the east of the lake.

188 We applied a similar method to calibrate other MERRA variables. After calibration, the
189 mean bias in the MERRA specific humidity came down from $0.000724 \text{ kg kg}^{-1}$ (relative error
190 7%) to $0.000034 \text{ kg kg}^{-1}$ (relative error 0.3%). The mean wind speed was underestimated by
191 0.54 m s^{-1} for the year of 2012, after the calibration, the mean bias decreased from 0.53 to
192 0.015 m s^{-1} for the validation year of 2013. The mean daily air temperature from MERRA and
193 lake show small biases (mean error 0.90°C , root mean squares error 1.96°C). To correct these
194 biases, we established linear regression for each month of the year. The corrected air
195 temperature had improved accuracy (mean error 0.25°C , root mean squares error 1.30°C).

196

197 **2.4 Pan evaporation**

198 Pan evaporation data were obtained from eight sites near the lake (Figure 1; Table 1). Two of
199 the sites covered continuously the period from 1971 to 2013, and four sites covered
200 continuously the period from 1961 to 2013. The E601 pan (61.8 cm in diameter), a modified
201 type of GGI-3000, a standard evaporation pan recommended by the World Meteorological
202 Organization, was used at four sites (Dongshan, Changshu, Huzhou, Wuxi). The $\Phi 20$ pan (20

203 cm in diameter) was used at the other four sites (Changshu, Yixing, Jintan, Wujiang).

204

205 **2.5 Reference evaporation**

206 Reference evaporation has been used frequently in the studies of evaporation trend in the
207 terrestrial environment. To test whether reference evaporation is a good proxy for the
208 evaporation trend for Lake Taihu, we presented below a comparison of reference evaporation
209 with the pan evaporation data and the model results. Reference evaporation for Lake Taihu
210 (ET_0) was calculated using the Penman-Monteith model (Allen et al., 1998), assuming a
211 hypothetical reference grass whose height is 0.12 m, surface resistance is 70 s m^{-1} and albedo
212 is 0.23. In the model, the net radiation is computed as a function of sunshine duration and
213 water vapor pressure, and soil heat storage is computed as a function of difference in air
214 temperature between two consecutive days. Input variables include daily air temperature
215 (maximum, minimum and average), wind speed, relative humidity, and sunshine duration;
216 these data came from actual observations made at the weather stations near the lake (Figure
217 1). Details of all the data needed for the calculation of ET_0 are given in Chapter 3 of FAO
218 paper 56 (Allen et al., 1998).

219

220 **2.5 Statistical analysis**

221 A multiple stepwise regression method was employed to analyze the effect of each
222 independent variable on the trends of evaporation. These variables were normalized between
223 0 and 1, with 0 corresponding to the minimum value and 1 to the maximum value. A variable
224 was entered in the model if its initial p value was less than 0.05 and was removed if the

225 recalculated p value was larger than 0.1. The contribution of each variable to the lake
226 evaporation trend was calculated as follows:

$$227 \quad Y = a_1X_1 + a_2X_2 + a_3X_3 \dots \quad (1)$$

$$228 \quad \mu_i = \frac{a_i\Delta X_i}{\Delta Y} \quad (2)$$

229 where Y is the normalized dependent variable (annual mean lake evaporation), X_i ($i = 1, 2,$
230 $3, \dots$) are the normalized independent variables, a_i is the regression coefficient for variable X_i ,
231 μ_i is the actual contribution of X_i to Y , and ΔX_i and ΔY are the trends of X_i and Y which are
232 the product of their slope of linear regression against the time span (Xu et al., 2006; Wang et
233 al., 2007). The dependent variable was either modeled annual evaporation, adjusted annual
234 pan evaporation, or annual reference evaporation. Normalization of the variables was made
235 with their maximum and minimum values so that after normalization they varied in the range
236 of 0 to 1. Because all the variables are normalized, the regression coefficients are
237 dimensionless.

238 In the case of modeled lake evaporation, the MERRA annual mean air temperature, wind
239 speed, downward longwave radiation, downward shortwave radiation, precipitation and
240 specific humidity were used as independent variables. Their linear time trends are shown in
241 Figure 2. The MERRA air temperature, incoming solar radiation, humidity, air temperature
242 and precipitation time trends are in excellent agreement with the station data. However its
243 wind speed shows a statistically insignificant trend, whereas the station observations indicate
244 a significant downward trend. So we also did a second set of stepwise regression by replacing
245 the reanalyzed wind speed with the observed value but using the reanalysis for all other
246 independent variables. No station observation was available for comparison with the MERRA

247 incoming longwave radiation.

248

249 **3 Results and Discussion**

250 **3.1 Results of the CLM4-LISSS lake model**

251 The modeled latent heat and sensible heat flux show excellent agreement with the EC
252 observation (Figure 3). Here the lake model was run twice, once forced by in-situ
253 meteorological observations at the BFG site and the second time forced by the calibrated
254 MERRA forcing variables. The 3-hourly model outputs of latent heat and sensible heat fluxes
255 were averaged over 5-day periods for comparison with the observation. If the model was
256 forced with in-situ observations, the mean error and the RMSE of the 5-day mean latent heat
257 flux were 0.4 Wm^{-2} and 16.7 Wm^{-2} , respectively. If the model was forced with the MERRA
258 meteorology instead, the model performance was slightly degraded, with the mean error of
259 0.6 Wm^{-2} and RMSE of 27.3 Wm^{-2} (Table 2).

260 The annual and seasonal variations of modeled evaporation from Taihu are plotted in
261 Figures 4 and 5. In the last three years (2011-2013) of the study period, the modeled annual
262 evaporation rate and trend were in excellent agreement with the values observed with EC at
263 DPK (Figure 5). We used the data from the DPK eddy covariance site because it had longer
264 and more continuous measurements than at BFG. The modeled evaporation rate is also in
265 excellent agreement with the pan-adjusted evaporation rate (Figure 5), with a linear
266 correlation coefficient of 0.79 ($p < 0.01$).

267 Use of a constant albedo and reanalyzed incoming longwave radiation are likely to be the
268 two largest sources of error. Lake albedo is known to vary with the optical depth of aerosols

269 in the atmosphere, cloudiness, solar zenith angle, and wind speed (Katsaros et al., 1985;
270 Henneman et al., 1999). Some of the scatters seen in the short-term flux comparison (Figure 3)
271 may have been caused by the albedo variability. But averaged over the annual cycle, these
272 scatters seem to have canceled out, resulting in good agreement with the observations (Figure
273 5). Reanalysis models have a tendency to underestimate the incoming longwave radiation
274 (Kennedy et al., 2011). The good agreement with the eddy-covariance annual evaporation
275 rate for the calibration year (2012) as well as the other years (2011, 2013, 2014) indicates that
276 the above empirical adjustment to L_{\downarrow} was robust.

277 According to the model calculation, Lake Taihu's annual evaporation increased
278 significantly at a rate of 29.6 mm decade⁻¹ from 1979 to 2013 (the standardized MK (Mann et
279 al., 1945; Kendall et al., 1975) statistic $z = 2.83$, 99% confidence level). Using meteorological
280 observations and combining the Penman-Monteith equation and a reference evaporation ratio
281 algorithm, Rong et al. (2013) showed an increasing trend of Dongping Lake, which is 640 km
282 northwest of Lake Taihu, at a rate of 4.55 mm year⁻¹ from 2003 to 2010 and concluded that
283 rising air temperature and net radiation accounted for the increase. The global
284 evapotranspiration of land showed an increasing trend at the rate of 7.1 mm decade⁻¹ from
285 1982 to 1997 (Jung et al., 2010). Based on a water balance analysis, increasing trend of actual
286 evapotranspiration of six large basins (Mississippi, Sacramento, Susquehanna, Colorado,
287 Columbia, and Southeast) in the conterminous USA was reported between 1950 and 2000
288 (Walter et al., 2004). However, Baker et al. (2012) found that most of watersheds in
289 Minnesota, USA displayed a decreasing trend in evapotranspiration over the past three
290 decades.

291 When taking the 35 years as a whole, the lake evaporation shows increasing trends in all
292 the four seasons but with different magnitudes. The rate of increase for spring, summer,
293 autumn, winter was 14.7, 9.2, 4.8 and 0.9 mm decade⁻¹, respectively. The average annual
294 evaporation for the period from 1979 to 2013 was 977 mm, and varied in the range between
295 889 mm in 1985 and 1138 mm in 2013. From the results of the MK test, the increasing trend
296 was significant for the annual and the spring period ($z = 2.82$ for annual and 3.48 for spring,
297 99% significance level), was marginal for the summer period ($z = 1.98$, 90% significance
298 level), and did not pass the significance test for the winter and autumn seasons ($z = 0.58$ for
299 winter and 1.35 for autumn).

300 To determine factors that contributed to the increasing trend of annual evaporation, we
301 first analyzed the trends of the MERRA forcing variables, including the screen-height air
302 temperature (T), and specific humidity (q), 10-m wind speed (U), downward longwave
303 radiation (L_{\downarrow}), downward shortwave radiation (S_{\downarrow}) and precipitation (P) (Figure 2). Two
304 variables, T and S_{\downarrow} increased significantly, at the rate of 0.34°C decade⁻¹ and 1.91 W m⁻²
305 decade⁻¹ (99% confidence level). The downward longwave flux increased slightly, at a rate of
306 0.63 W m⁻² decade⁻¹ (90% confidence level). The other variables (q , P , U) showed no
307 significant trends. The temporal trends in the MERRA variables, S_{\downarrow} , T , q and P , are in good
308 agreement with actual observations on land, but the lack of trend in the MERRA wind speed
309 contradicts with the observed wind in the Lake catchment showing a declining trend of 0.12
310 m s⁻¹ decade⁻¹ (99% confidence level). Additionally, the lower observed wind speed than the
311 MERRA wind speed can be explained by the fact that wind on land is weaker than wind over
312 the open lake, keeping in mind that the MERRA wind data were calibrated against the wind

313 observations over the lake. No observational data on L_{\downarrow} are available for comparison with the
314 MERRA data.

315 Next, quantitative analysis of the contribution of each independent variable was
316 performed with the stepwise multiple regression method described in Section 2.5. The results
317 are shown in Table 3. The multiple regression coefficients are 0.677, 0.234, 0.219, -0.379 and
318 0.133 for S_{\downarrow} , T , L_{\downarrow} , q and U , respectively. Annual precipitation (P) was removed from the
319 regression equation because the recalculated p value was larger than 0.1. The R^2 (coefficient
320 of determination) of the final equation is 0.955, which means that the equation explains 95.5%
321 of the variance in the lake evaporation. The increase of S_{\downarrow} is the most important factor that
322 contributes to 60.9% of the total lake evaporation increase. The second largest contribution
323 comes from T with a percentage contribution of 28.9%. Ranking third and fourth are L_{\downarrow}
324 (10.1%) and q (-5.1%). The contribution by U is very small, at 0.8%. The sum of all the
325 contributions from these independent variables explains 95.6% of the total evaporation
326 increase. In short, at Lake Taihu, increasing downward shortwave radiation is the key
327 contributor to the increased annual evaporation from 1979 to 2013.

328 Since the wind speed trend differs between MERRA and the actual observation, an
329 additional stepwise regression was performed by replacing the MERRA wind with the
330 observed wind but keeping other MERRA inputs invariables. The results are shown in the
331 bottom portion of Table 3. Interestingly, the wind speed was excluded from the final
332 regression because its recalculated p value was greater than 0.1. Also excluded were P , L_{\downarrow} ,
333 and q . Of the two variables remaining, S_{\downarrow} and T contributed 68.5% and 33.8% to the
334 evaporation trend.

335 The insensitivity to wind speed is consistent with theoretical expectation of open water
336 evaporation. According to the Priestley-Taylor model (Priestley and Taylor, 1972), open
337 water evaporation is controlled by the available energy and temperature and is independent of
338 wind speed. Parameter analysis with the CLM4-LISSS lake model indicates that the surface
339 temperature of Lake Taihu is insensitive to wind (Deng et al. 2013). In the present study,
340 increasing the MERRA wind speed by 10% changed the mean evaporation rate only slightly,
341 by 0.4% to 981 mm from the original mean of 977 mm. That the evaporation rate is nearly
342 identical at two EC sites in Lake Taihu whose wind speed differs by almost a factor of two
343 (Wang et al. 2014) is further evidence supporting the theoretical expectation.

344

345 **3.2 Trends in pan evaporation**

346 The comparison of pan evaporation to the EC-observed lake evaporation is shown in Figure 6
347 for the eight pan evaporation sites. The $\Phi 20$ pan data are on the left (panels a-d) and E601
348 pan data are on the right of this plot (panels e-h). Each data point represents a 5-day period.
349 The pan coefficient (the slope of the linear regression) of the four $\Phi 20$ pans is smaller than
350 that of the four E601 pans, which means that the annual evaporation is greatest for the $\Phi 20$
351 pans, the lowest for the E601 pans, and actual lake evaporation falls in between these two
352 measurements. Being larger in surface area, E601 pans provided more accurate estimate of
353 the lake evaporation: the mean pan coefficient for E601 is slightly greater than unity (1.11)
354 whereas the mean pan coefficient for $\Phi 20$ deviates much more from unity (0.75). In the
355 following, we corrected the historical pan evaporation by multiplying the observed values
356 with the pan coefficient established for each of the pan stations shown in Figure 6.

357 The adjusted pan evaporation results show an increasing trend from 1979 to 2013, at the
358 rate of 25.4 mm decade⁻¹ which is very close to the rate of 29.6 mm decade⁻¹ modeled by
359 CLM4-LISSS. In this comparison, the pan evaporation data came from six stations
360 (Changshu, Yixing, Jintan, Dongshan, Changshu, and Huzhou). The Wujiang and Wuxi
361 stations have a data gap of more than five years and have been removed from the calculation.
362 The mean annual evaporation (1979-2013) is 1007 mm according to the pan data and 977
363 according to the model. The interannual variabilities of the two time series are highly
364 correlated as noted above.

365 The stepwise regression reveals a dominant role of air temperature in the observed pan
366 evaporation variations (Table 4). In this regression, all independent variables except the
367 incoming longwave radiation came from the station observations. The observed T in the lake
368 catchment contributed 174.5% to the observed pan evaporation trend. The role of S_↓ is much
369 smaller than for the modeled lake evaporation, with a contribution of 17.3%. These positive
370 contributions were offset by negative contributions from the observed wind (-66.6%) and
371 from the MERRA incoming longwave radiation (-26.6%), bringing the total contribution to
372 slightly over 100% (105.8%).

373 The negative contribution of the observed wind to the pan evaporation trend is consistent
374 with the “stilling” phenomenon reported by other pan evaporation studies (Roderick and
375 Farquhar, 2006; Rayner et al., 2007) and is supported by a theoretical study on the energy
376 balance of evaporation pans (Lim et al., 2012). However, the lack of wind sensitivity of
377 open-water evaporation suggests that the “stilling” phenomenon may be a consequence of
378 strong horizontal advective effects associated with small surface area of evaporation pans and

379 may not be applicable to large natural water bodies.

380

381 **3.3 Trends in reference evaporation**

382 Annual reference evaporation ET_0 , averaged of the eight pan evaporation sites around Lake
383 Taihu (Figure 1), shows an increasing trend, at a rate of $56.5 \text{ mm decade}^{-1}$, from 1979 to 2013.

384 The sign of the trend is in agreement with the model and the pan data, and is consistent with
385 the study by Brutsaert and Parlange et al., (1998) who concluded that at places with ample

386 supply of moisture, ET_0 can be treated as an indicator of local actual evaporation. The

387 interannual variations in ET_0 are correlated with those in the pan evaporation (linear

388 correlation $r = 0.81$, $p < 0.01$) and in the modeled lake evaporation ($r = 0.75$, $p < 0.01$).

389 However, the long-term mean ET_0 (1197 mm) is 18.9% and 22.5% higher than the pan and

390 the lake evaporation rate, respectively. Also notable is that the rate of increase in ET_0 is 122%

391 and 91% larger than those of the pan evaporation and the lake evaporation, respectively. If we

392 accept the interpretation that ET_0 trends are indicative of how terrestrial ecosystems would

393 respond to climatic changes, our result implies that Lake Taihu, and perhaps other open water

394 bodies as well, are less sensitive to these changes. The stepwise regression reveals that the

395 ET_0 trend was overwhelmingly controlled by the temperature trend (Table 5). The

396 contributions of solar radiation and wind speed were not significant.

397 Whether long-term evaporation trends are positive or negative appears to depend on the

398 choice of study period. Several previous studies concluded that pan evaporation, land actual

399 evaporation and reference evaporation of the Yangtze River Basin, where Lake Taihu is

400 located, show decreasing trend with the rate of $-30.9 \text{ mm decade}^{-1}$, -3.6 to $-9.3 \text{ mm decade}^{-1}$

401 and $-19 \text{ mm decade}^{-1}$, respectively, from 1961 to 2000 (Xu et al., 2005; Wang et al., 2007).
402 These authors attributed the decreasing trends to the decreases of net total radiation and wind
403 speed during their study period, even though air temperature increased at the rate of $0.1 \text{ }^{\circ}\text{C}$
404 decade^{-1} . Cong et al., (2009) found that pan evaporation, taking China as a whole, shows a
405 decreasing trend from 1965 to 1985 due to decreasing radiation and wind speed, and an
406 increasing trend from 1986 to 2005, which they attributed to a increasing trend in the vapor
407 pressure deficit.

408

409 **4 Conclusions**

410 The lake evaporation modeled by CLM4-LISSS is in excellent agreement with eddy
411 covariance observations. The modeled lake evaporation and the calibrated pan evaporation
412 show increasing trend at a similar rate of $29.6 \text{ mm decade}^{-1}$ and $25.4 \text{ mm decade}^{-1}$,
413 respectively, from 1979 to 2013. The annual mean lake evaporation was 977 mm according to
414 the model and 1007 mm according to the pan data. The largest contributor to the increasing
415 trend of modeled evaporation was increasing solar radiation during this period, while the
416 largest contributor to the observed pan evaporation trend was increasing air temperature. The
417 decline in the observed wind speed during this period had little impact on the modeled lake
418 evaporation but contributed negatively to the pan evaporation trend.

419 In the Lake Taihu catchment, reference evaporation ET_0 is not a good proxy indicator of
420 lake evaporation. Although the interannual variations in the annual ET_0 were highly
421 correlated with the modeled lake evaporation and the adjusted pan evaporation, its increasing
422 trend was too strong, at a rate of $56.5 \text{ mm decade}^{-1}$. This trend was overwhelmingly

423 controlled by the temperature trend.

424 Although the results from the two different methods (model and pan) show an increasing
425 trend in the lake evaporation at similar rates in the past 34 years, they disagree in the main
426 contributors to the observed trend. We argue that the modeled result gives a more robust
427 attribution of climatic impacts on the lake hydrological cycle.

428 Reference evaporation is expected to be approximately equal to actual evaporation under
429 conditions of ample water supply. However, we conclude that reference evaporation is not a
430 good proxy for lake evaporation study.

431

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633

634 **Table 1**

635 A list of pan evaporation sites used in this study, with distance from the lake shore noted.

Site name	Pan type	Measurement period	Distance (km)
Jintan	Φ20	1971-2013	54
Changzhou	E601	1961-2013	40
Wuxi	E601	2008-2013	10
Wujiang	Φ20	1961-1988, 2001-2013	15
Changshu	Φ20	1961-2013	41
Dongshan	E601	1961-2013	2
Yixing	Φ20	1961-2013	14
Huzhou	E601	1971-2013	8

636

637 **Table 2**
 638 Model bias errors in sensible (H) and latent heat flux (LE) using in-situ observation and
 639 MERRA variables as forcing inputs: ME, mean error (W m^{-2}), RMSE, root mean squares
 640 error (W m^{-2}); I, index of agreement (Willmott et al., 1981).
 641

	MERRA forcing			In-situ forcing		
	ME	RMSE	I	ME	RMSE	I
H	3.9	12.5	0.72	1.1	9.0	0.78
LE	0.6	27.3	0.94	0.4	16.7	0.98

642

643 **Table 3**

644 Stepwise multiple regression analysis of the modeled evaporation with annual downward
 645 shortwave radiation (S_{\downarrow}), air temperature (T), wind speed (U), downward longwave radiation
 646 (L_{\downarrow}), specific humidity (q), and precipitation (P) as independent variables. All the regression
 647 coefficients are dimensionless.

	S_{\downarrow}	T	U	L_{\downarrow}	q	P	Sum
	All MERRA variables						
Trend*	0.374	0.515	0.025	0.193	0.056	-0.081	
Regression coefficient	0.677	0.234	0.133	0.219	-0.379	0	
Change in Y**	0.254	0.120	0.003	0.042	-0.021	0	
Percent contribution***	60.9%	28.9%	0.8%	10.1%	-5.1%	0	95.6%
	Observed U and MERRA S_{\downarrow} , T, L_{\downarrow} , q and P						
Trend*	0.374	0.515	-0.52	0.193	0.056	-0.081	
Regression coefficient	0.763	0.273	0	0	0	0	
Change in Y**	0.285	0.141	0	0	0	0	
Percent contribution***	68.5%	33.8%	0	0	0	0	102.3%

648 *Total change of the variable over the period 1979-2013, equal to the product of the linear regression slope
 649 and time span.

650 ** Change in Y (lake evaporation) induced by each meteorological variable (Equation 2).

651 *** Percentage contribution of each meteorological variable to the observed trend in Y.

652

653 **Table 4:**
 654 Stepwise multiple regression analysis of the annual pan evaporation with annual downward
 655 shortwave radiation (S_{\downarrow}), air temperature (T), wind speed (U), downward longwave radiation
 656 (L_{\downarrow}), specific humidity (q), and precipitation (P) as independent variables. Downward
 657 longwave radiation was from MERRA. While other independent variables were from actual
 658 observations. All the regression coefficients are dimensionless.

	S_{\downarrow}	T	U	L_{\downarrow}	Q	P	Sum
Observed P, q, T, U and solar (from Shanghai station) and MERRA incoming longwave radiation							
Trend*	0.256	0.738	-0.52	0.193	-0.00245	-0.0665	
Regression coefficient	0.244	0.854	0.462	-0.498	0	-0.379	
Change in Y**	0.0623	0.630	-0.240	-0.096	0	0.025	
Percent contribution***	17.3%	174.8%	-66.6%	-26.6%	0	6.9%	105.8%

659 *Total change of the variable over the period 1979-2013, equal to the product of the linear regression slope
 660 and time span.

661 ** Change in Y (lake evaporation) induced by each meteorological variable (Equation 2).

662 *** Percentage contribution of each meteorological variable to the observed trend in Y.

663 **Table 5:**

664 Same as Table 4 but for the annual reference evaporation as the dependent variable.

	S _l	T	U	L _l	q	P	Sum
Trend*	0.256	0.738	-0.52	0.193	-0.00245	-0.0665	
Regression coefficient	0	0.89	0	-0.504	0	-0.251	
Change in Y**	0	0.657	0	-0.097	0	0.017	
Percent contribution***	0	113.1%	0	-16.7%	0	2.9%	99.3%

665

666 **List of Figures**

667

668 **Figure 1:** Map showing four $\Phi 20$ pan stations (black solid circle), four E601 pan stations
669 (open circle with cross) and two EC sites (black flag: Dapukou, DPK; Bifenggang, BFG).
670 Green color indicates Jiangsu Province and light yellow indicates Zhejiang Province.

671

672 **Figure 2:** Variations of annual mean MERRA meteorological variables (black lines) and
673 actual observations (gray lines) variables from 1979 to 2013, trends for air temperature ($^{\circ}\text{C}$
674 decade^{-1}), specific humidity ($\text{kg kg}^{-1} \text{decade}^{-1}$), wind speed ($\text{m s}^{-1} \text{decade}^{-1}$), precipitation
675 (mm decade^{-1}), downward longwave radiation ($\text{W m}^{-2} \text{decade}^{-1}$) and downward shortwave
676 radiation ($\text{W m}^{-2} \text{decade}^{-1}$) are showed (3 asterisks, 2 asterisks, 1 asterisk represent trend
677 analysis passing 99%, 95%, 90% confidence level, respectively).

678

679 **Figure 3:** (a-b): Time series of sensible heat (H) and latent heat flux (LE) in 2012: black line,
680 EC observations at BFG; blue line, model calculation forced by MERRA; red line, model
681 calculation forced by in-situ meteorology. (c-d): Comparison between model-calculated H
682 and LE against the EC observations at BFG: open circles, model forced by MERRA
683 meteorology; solid bullets, model forced by in-situ meteorology. Parameter bounds on the
684 regression coefficients are for the 95% confidence interval.

685

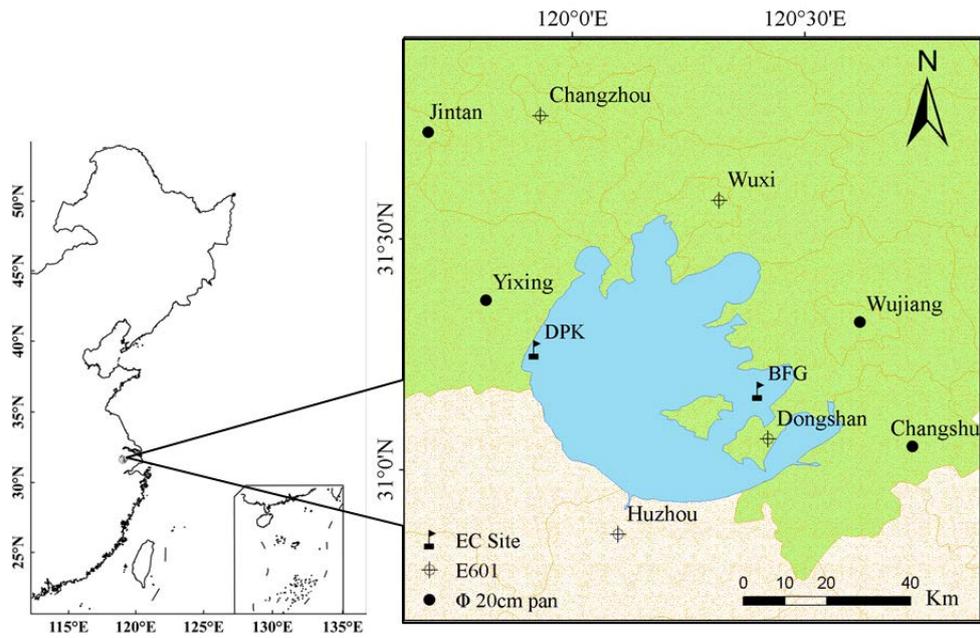
686 **Figure 4:** Trends in seasonal evaporation rates calculated with the lake model and forced by
687 the MERRA meteorology from 1979 to 2013. Solid lines are linear regression of the
688 long-term trends.

689

690 **Figure 5:** Comparison of variations of annual lake evaporation: red line, lake model; and
691 black dots and black line, average of adjusted pan evaporation for six sites (Changshu, Yixing,
692 Jintan, Dongshan, Changzhou, Huzhou); Green dots and green line, average reference
693 evaporation of eight weather stations surrounding the lake. Error bars are \pm one standard
694 deviation. Red dots indicate annual evaporation from the EC observation at DPK.

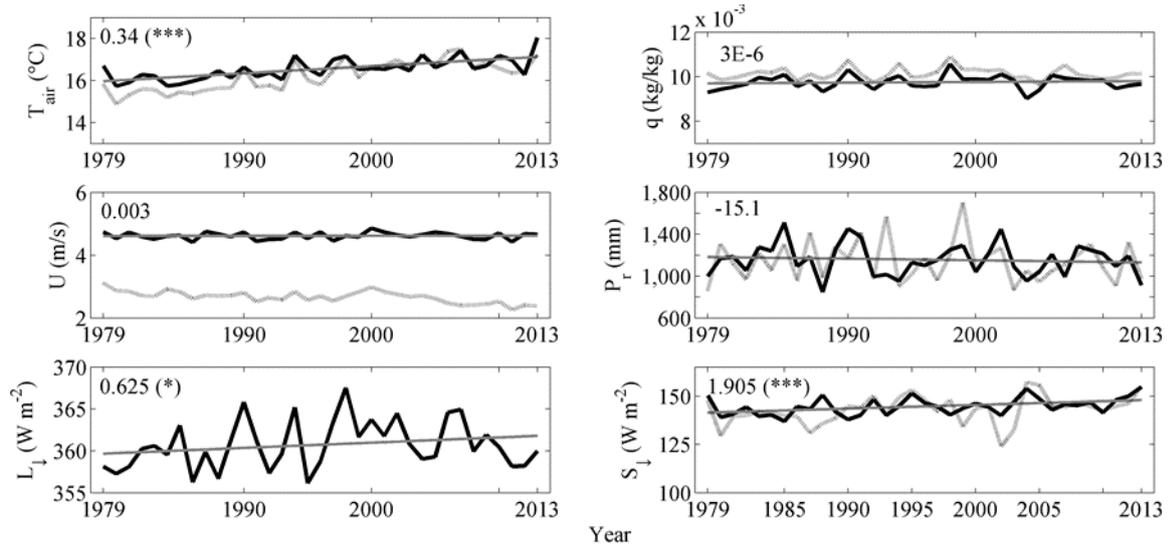
695

696 **Figure 6:** Comparison between lake evaporation observed with EC and pan evaporation of
697 four $\Phi 20$ pans (a-d) and four E601 pans (e-h). Each data point represents a 5-day average in
698 2012. The pan coefficient of each site is shown as the slope of the regression.



699

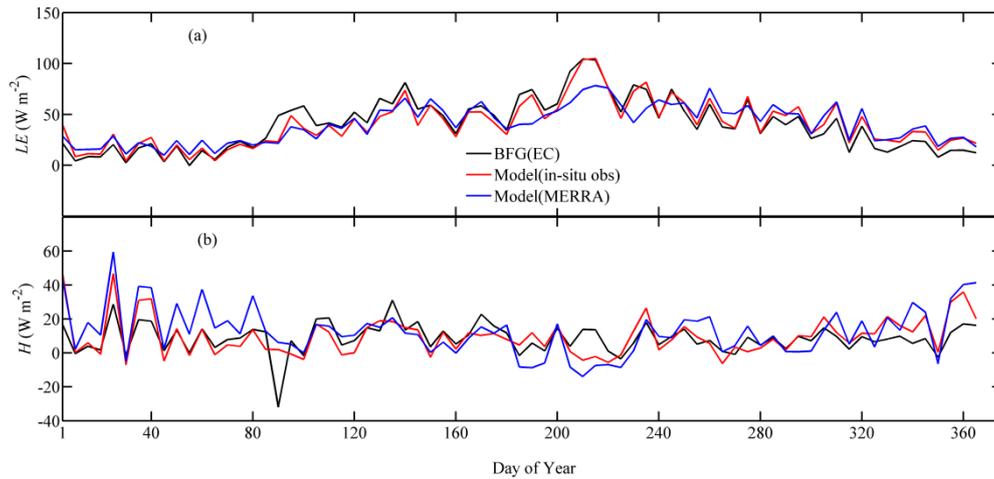
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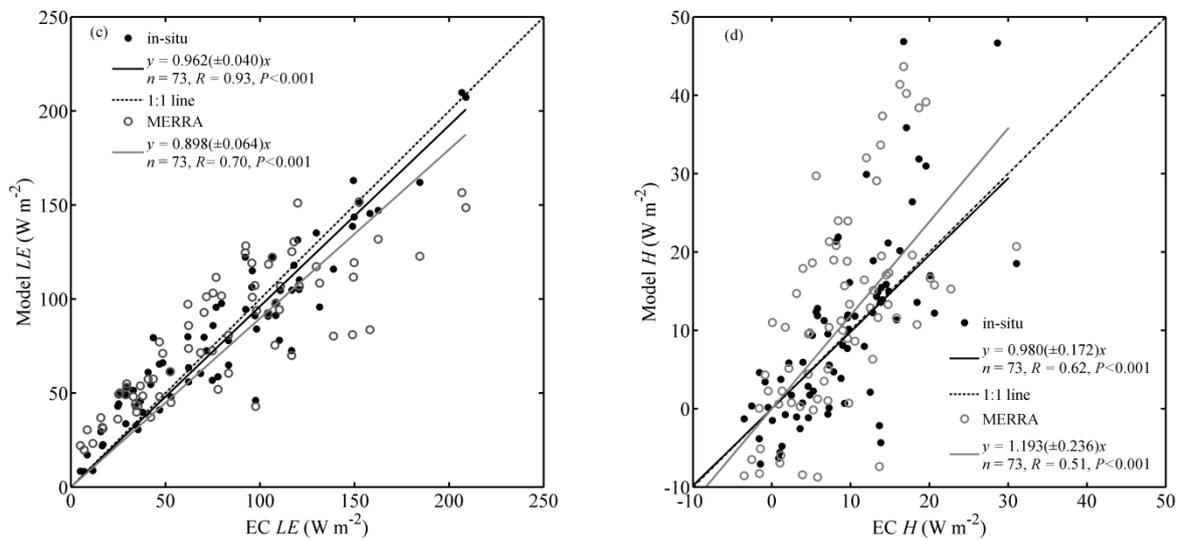
703

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 707 ($\text{m}/\text{s}^{-1}/\text{decade}^{-1}$), precipitation ($\text{mm}/\text{decade}^{-1}$), downward longwave radiation ($\text{W}/\text{m}^2/\text{decade}^{-1}$)
 708 and downward shortwave radiation ($\text{W}/\text{m}^2/\text{decade}^{-1}$) are showed (3 asterisks, 2 asterisks, 1
 709 asterisk represent trend analysis passing 99%, 95%, 90% confidence level, respectively).

710



711



712

713

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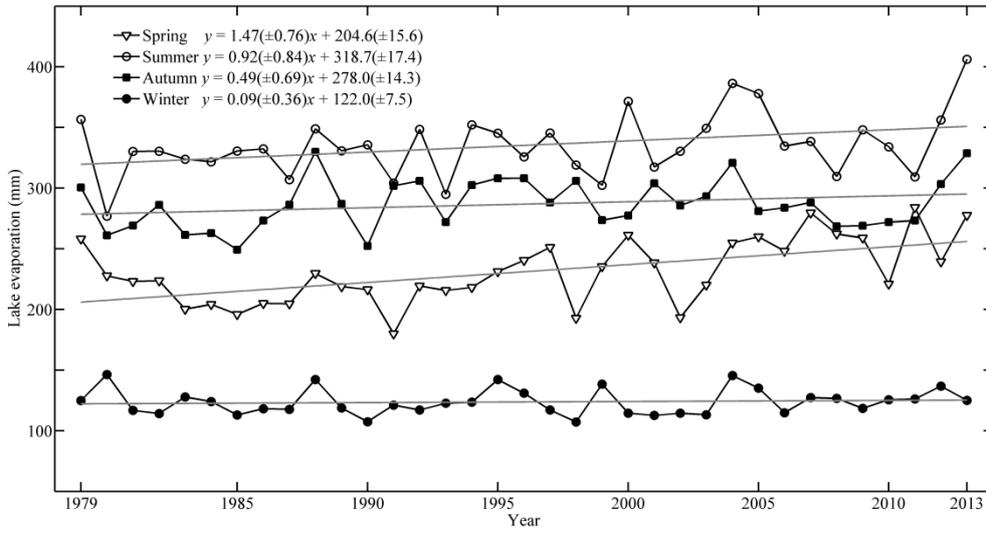
716 calculation forced by in-situ meteorology. (c-d): Comparison between model-calculated H

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718 meteorology; solid bullets, model forced by in-situ meteorology. Parameter bounds on the

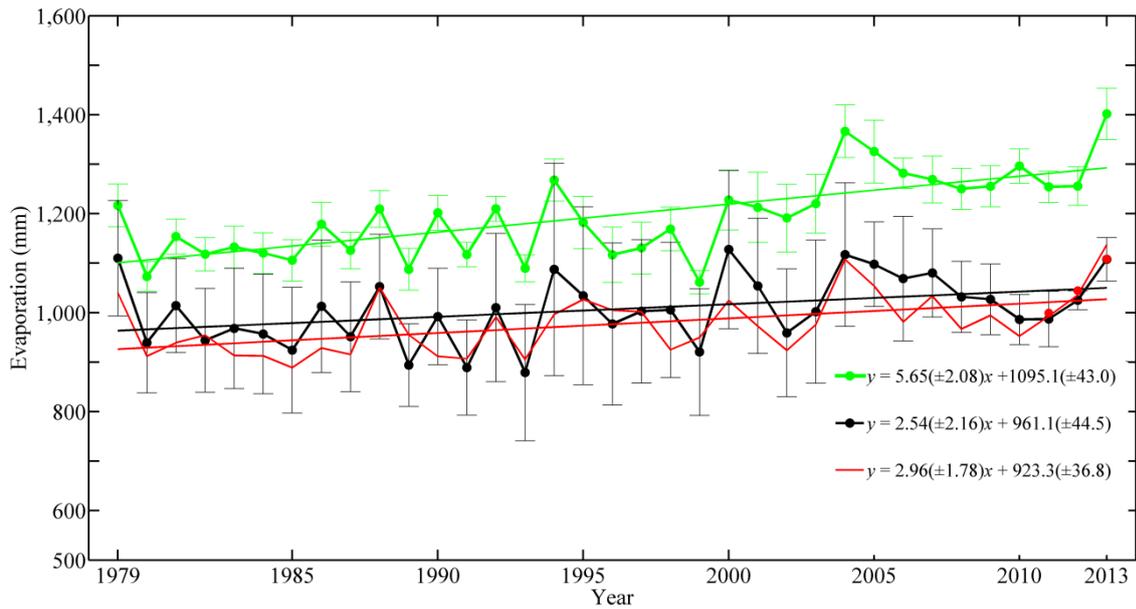
719 regression coefficients are for the 95% confidence interval.

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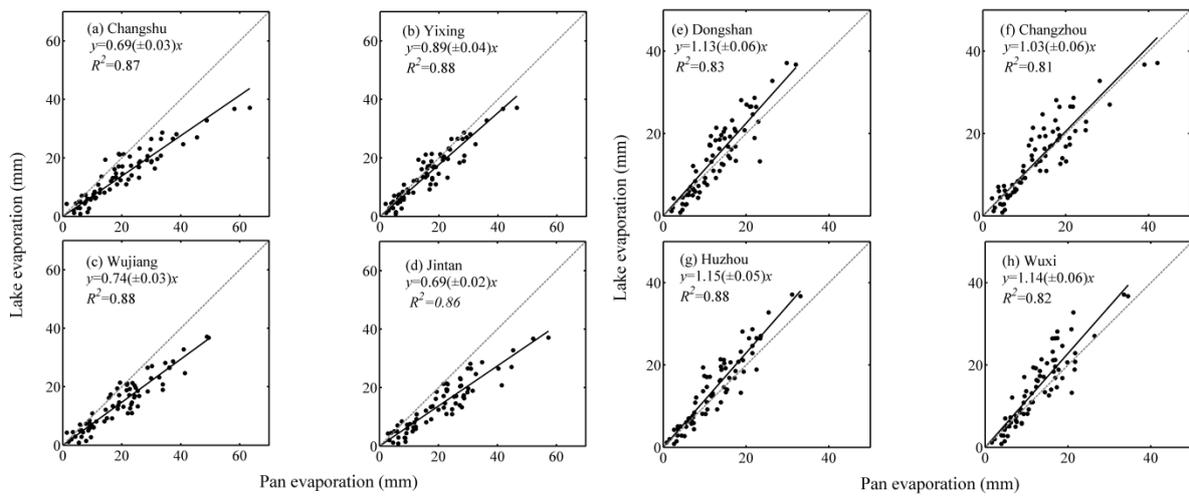
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725

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 729 evaporation of eight weather stations surrounding the lake. Error bars are \pm one standard
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732

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